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# $H_2$ and $H_\infty$ robust autopilot synthesis for longitudinal flight of a special unmanned aerial vehicle: a comparative study

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**Abstract:** The aim of this paper is to investigate the feasibility of robust  $H_2$  and  $H_\infty$  autopilots to the longitudinal flight motions of a flying wing unmanned aerial vehicle (UAV), P15035, developed by Monash Aerobotics Research Group. The challenge associated with this UAV is related to the fact that all motions are controlled by two independently actuated ailerons, namely elevons, together with its throttle. The scope of this research is nonetheless limited only for elevon control based on the trimmed linear longitudinal flight modes obtained experimentally from the previous study, while the throttle is a constant. Since the real environment is subject to modelling uncertainties and variations, robust  $H_2$  and  $H_\infty$  control systems are designed to withstand such uncertainties and variations. Simulations indicate that the control systems designed poss acceptable performances both in time and frequency domain, with reasonable settling time and overshoots while maintaining reasonably robust stability. It further shows that robust  $H_\infty$  autopilot has demonstrated superior time domain performances compared with the  $H_2$  counterpart.

## 1 Introduction

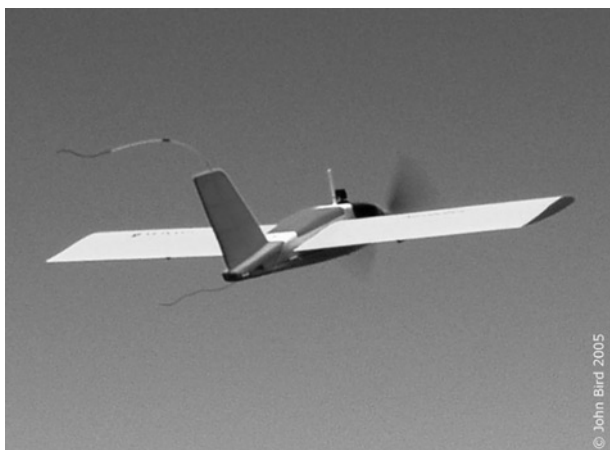
The ultimate design that the unmanned aerial vehicle (UAV) engineers wish to achieve is to provide autonomous systems from taking off, cruising to landing. In order to achieve this goal, feedback control systems must satisfy both robust stability and robust performances for a particular dynamic system. The main reason to employ robust control is in fact to overcome the existing disturbances, for example, atmospheric turbulences, noise, as well as uncertainty in modelling.

Our research aircraft P15035 (Fig. 1) belongs to the class of aircraft called flying wings and is known colloquially as a 'plank' having an unswept constant chord (width) wing of low aspect (length to width) ratio and no rudder or elevator in the sense of a more conventional aircraft (see Table 1 for technical details). A number of advantages have been claimed for flying wings including reduced parasitic drag due to the absence of an extended tail and associated elevator and rudder control surfaces. In our case we chose a

plank as it is very rugged, of compact construction and has, at least for human pilots, very benign flight behaviour and wide airspeed range; autopilot design and tuning is a little more challenging.

The P15035 has two elevon control surfaces which combine the functions of elevators and ailerons. Pitch is controlled by the average deflection of the elevons and roll by the difference. While there is a vertical stabiliser it has no attached rudder and so yaw control is indirect through roll. The aircraft does not have the usually long moment arm provided by elevators; it must rely upon a slight upsweep in the rear of the airfoil to maintain a positive pitching moment to overcome the moments introduced by a forward centre of gravity this being essential to maintain stability. Partially as a consequence of this there is an increased coupling between throttle and pitch. We have assumed constant cruise throttle setting in this paper.

To further complicate matters we fly at relatively at low Reynolds number ( $<250 K$ ) regimes, which means turbulent



**Figure 1** P15035 aircraft

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flow and laminar separation across wing surface. Air turbulence is also of concern due to the size of the aircraft, see [1–3] for more comprehensive explanations. As a result, the aircraft dynamics are obviously nonlinear and at times varying. In relation to this matter, interested readers are suggested to refer to [1–3] and also [4–7]. More comprehensive information regarding this small UAV controls is given in Section 2.

Our preliminary work in identification for the aircraft has been published in [1], with extension to this work is found in [2, 3]. Relevant control theory related to system identification could be found in [8–11]. Topics correspond to robust control synthesis may be found in [14–16]. Extended theory about dynamic systems may be found in [17–19]. In addition, we have at our disposal a large repository of flight logs for our aircraft. It contains the complete dynamics record of flight data [20].

In the model-based control system, especially the observer-based ones, there always exist uncertainty and modelling errors. Since the identified model is used to design the real-time control systems, consequently, this will certainly degrade the robustness of the closed-loop control system. In worst case, the design results may not work practically due to the lack of robustness. As a result, robust control systems have been widely developed. Yet another advantage

**Table 1** Specifications of Aircraft P15035

span	150 cm	motor	electric
chord	35 cm	duration	40–60 min
length	106 cm	speed	33–150 km/h
control surface	elevon	battery	28 × GP3300NiMH
weight	2.9–4.6 kg	autopilot	MP2028

of employing robust control is when it comes to the simplicity in designing for multivariable systems.

In this study, we consider designing robust autopilot using  $H_2$  and  $H_\infty$  synthesis. It is well known that  $H_2$  synthesis is equivalent to linear quadratic gaussian (LQG) optimal control design. Regarding to its preliminary design results, interested readers are suggested to refer to [2, 3]. Some recent works on robust control may be found in [21–23]. The term ‘synthesis’ refers to theoretical development, precise and unambiguous, whose aim is mainly pedagogical [16].

Furthermore, the availability of robust control toolbox in MatLab has simplified the composition process. The offline computation algorithm also has made the composition process more computationally intensive rather than the real-time control loops computed in flight, where we have electrical and computational power limitations [3].

The organisation of this paper is as follows. First, Section 1 depicts some issues related to background, organisation and motivation of this research. In Section 2, the open-loop mathematical model of elevon-average-to-altitude is introduced together with the study of its time domain characteristics. Sections 3 deals with some robustness issues. Subsequently, in Sections 4–6, robust autopilot  $H_2$  and  $H_\infty$  will be synthesised together with the study of both their frequency domain as well as time domain performances. Lastly, conclusions will be drawn in Section 7.

## 2 Open-loop mathematical model

Generating a comprehensive nonlinear model for the aircraft is usually impractical. Instead, a more realistic approach is to develop a set of linearised models valid for different dynamic ranges. Longitudinal and lateral models for conventional larger aircraft are well understood [8–11]. It is assumed that the longitudinal dynamics is to be uncoupled from its lateral motions. Pitch is controlled by the average deflection of the elevons, meanwhile, roll is controlled by the elevon deflections in an attempt to control yaw and to minimise the adverse drag.

The longitudinal and lateral directional models for the P15035 have been obtained using system identification techniques [9–11] based on real flight data and were initially reported in [1]. Consider the trimmed model in which the throttle is constant, two controllable inputs of the model are right elevon, left elevon and three outputs are given by output state vector  $[p, q, r]^T$ , representing rates of pitch, roll and yaw vectors, respectively. Hence, the linear trimmed model of our flying wing UAV can be depicted as follows [1]

$$\begin{bmatrix} \dot{p} \\ \dot{q} \\ \dot{r} \end{bmatrix} = \begin{bmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \\ G_{31} & G_{32} \end{bmatrix} \begin{bmatrix} \delta_l \\ \delta_r \end{bmatrix} \quad (1)$$

where  $\delta_l$  and  $\delta_r$  represent left and right elevons, respectively, (degree) and  $G_{ij}$  are the corresponding transfer functions.

Due to the symmetrical properties of the aircraft about its  $x-z$  plane, consequently, the effects of left and right elevons are identical to the pitch but opposite to the roll and yaw, therefore we have that  $G_{11} = G_{12}$ ,  $G_{21} = -G_{22}$ ,  $G_{31} = -G_{32}$ . By denoting  $G_P = G_{11}$ ,  $G_R = G_{21}$  and  $G_Y = G_{31}$ , it eventually leads to the following equation [1]

$$\begin{bmatrix} \dot{p} \\ \dot{q} \\ \dot{r} \end{bmatrix} = \begin{bmatrix} G_P & 0 \\ 0 & G_R \\ 0 & G_Y \end{bmatrix} \begin{bmatrix} \delta_A \\ \delta_D \end{bmatrix} \quad (2)$$

where  $\delta_A$  and  $\delta_D$  are associated with elevon average and elevon difference, respectively, given by  $\delta_A = \delta_l + \delta_r$  (or  $\delta_A = (\delta_l + \delta_r)/2$ , if  $G_p$  becomes  $2G_p$ ) and  $\delta_D = \delta_l - \delta_r$ . From (2), pitch is independently controlled by elevon average deflection  $\delta_A$ , corresponding to the elevators of a conventional aircraft, and roll and yaw are both driven by elevon difference  $\delta_D$ , corresponding to the aileron and rudder for a conventional aircraft. Consequently, no decoupling can be made between yaw and roll due to special configuration of the aircraft.

For trimmed flight with a constant engine thrust the P15035's longitudinal discrete time transfers function from the elevon average deflection  $\delta_A$  to the pitch angle  $\theta$  (which is the integral of pitch rate) with a sampling frequency of 5 Hz is obtained as

$$\frac{\theta(z)}{\delta(z)} \Big|_{5\text{Hz}} = \frac{-0.13065z^2(z + 0.0091)}{(z - 0.9115)(z - 0.9785)(z^2 + 0.2267z + 0.3763)} \quad (3)$$

in which its complex conjugate poles are given by:  $z = -0.1134 \pm 0.6029i$ .

Converted to  $s$  domain, it becomes

$$\frac{\theta(s)}{\delta_A(s)} = \frac{-0.2954(s + 6.693)(s^2 + 11.7s + 91.49)}{(s + 0.4633)(s + 0.1087)(s^2 + 4.887s + 83.12)} \quad (4)$$

with a pair of complex conjugate pole given by:  $s = -2.4435 \pm 8.7835i$ .

It is well known (e.g. [4, 5, 7, 24]) that the typical longitudinal dynamics of a traditional aircraft (elevator to pitch) with a constant engine thrust can be expressed as:

$$\frac{\theta(s)}{\delta(s)} = \frac{k_\theta(s + 1/T_{\theta_1})(s + 1/T_{\theta_2})}{(s^2 + 2\zeta_p\omega_p s + \omega_p^2)(s^2 + 2\zeta_s\omega_s s + \omega_s^2)} \quad (5)$$

In (5)  $\delta$  is now the elevator angle [instead of the elevon average in (4)],  $k_\theta$  the high-frequency gain,  $\Delta_{s_p} = s^2 + 2\zeta_p\omega_p s + \omega_p^2$  the so-called phugoid mode, and  $\Delta_{s_s} = s^2 + 2\zeta_s\omega_s s + \omega_s^2$  the short period mode,  $\zeta_p$  and  $\zeta_s$  the damping factors and  $\omega_p$  and  $\omega_s$  the undamped natural frequency of the two modes, respectively. Typically,

the phugoid mode is overdamped with a relatively large time constant and the short period mode represents underdamped oscillations. The overall pitch step response is a combination of a slow exponential function and quickly decaying high-frequency oscillations.

Comparing (4) with (5), it can be seen that the longitudinal model (4) has an over damped phugoid model given by  $\Delta_{s_p} = (s + 0.4633)(s + 0.1087)$  with a dominant large time constant of  $\tau = 10$  s. Its short period model is given by  $\Delta_{s_s} = s^2 + 4.887s + 83.12$  with a damping ratio of 0.268 and a natural frequency of 9.12 rad/s. The settling time is small, being in the order of 1 s. The impulse responses are plotted in Fig. 2.

Since in this research, we are considering the altitude-holding control we need to determine the elevon-to-altitude transfer function for autopilot designs. Given the pitch-to-altitude transfer function in  $z$  domain with a sampling frequency of 5 Hz as

$$\frac{b(z)}{\theta(z)} = \frac{0.05456z}{z - 0.9969} \quad (6)$$

where  $b$  is the altitude of the aircraft in metres obtained from flight data modelling. We finally obtain the following elevon-to-altitude transfer function in  $s$  domain as:

$$\frac{b(s)}{\delta_A(s)} = \frac{-0.011659(s^2 + 11.88s + 42.46)(s^2 + 9.723s + 99.83)}{(s + 0.4633)(s + 0.1087)(s + 0.01552)(s^2 + 4.887s + 83.12)} \quad (7)$$

From (7) the linearised model of the longitudinal dynamics can be expressed in state space equations given by

$$\begin{aligned} \dot{x} &= Ax + Bu \\ y &= Cx + Du \end{aligned} \quad (8)$$

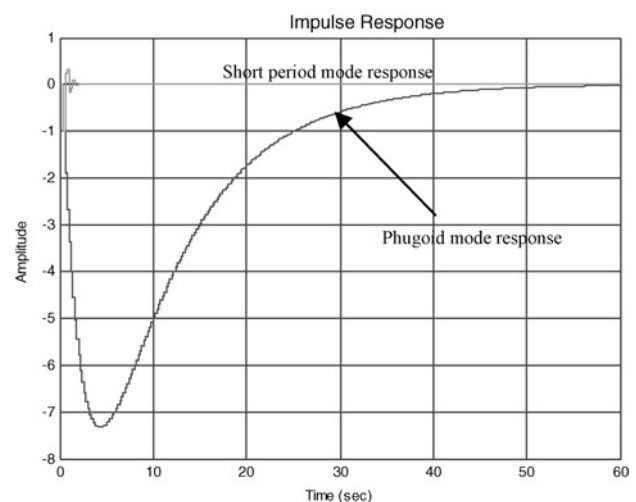
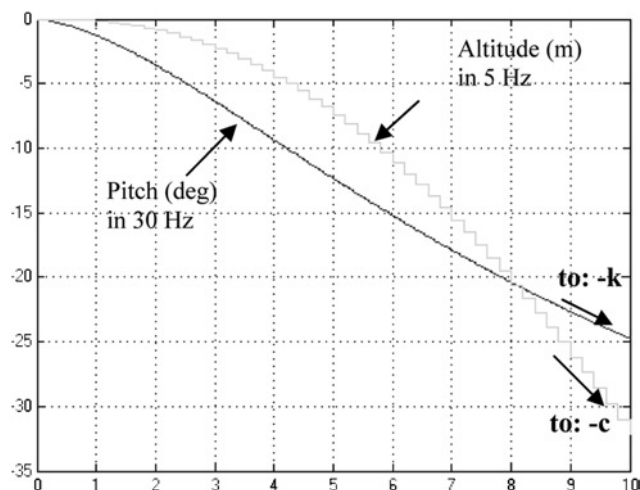


Figure 2 Impulse pitch amplitude responses in degrees for phugoid and short period modes of UAV P15035



**Figure 3** Open-loop time domain responses of elevon-average-to-pitch and elevon-average-to-altitude

in which,  $u = \delta_A$ ,  $y = b$  and  $x$  is the state vector defined accordingly:

$$A = \begin{bmatrix} -5.4740 & -86.0500 & -49.120 & -4.9270 & -0.0650 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix},$$

$$B = [1 \ 0 \ 0 \ 0 \ 0]^T,$$

$$C = [-0.0117 \ -0.2519 \ -3.0060 \ -18.640 \ -49.4200],$$

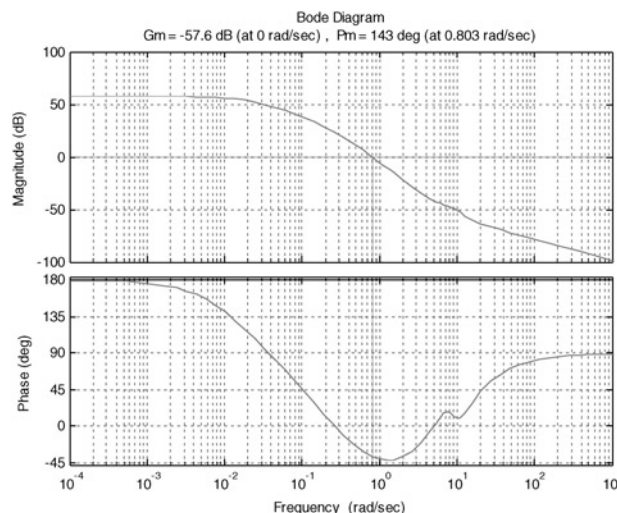
$$D = [0]$$

The open-loop impulse responses of elevon-average-to-pitch as well as elevon-average-to-altitude are given by Fig. 3. It turns out that the final dc values of both pitch and altitude with respect to a unit step input are negative constants, that is,  $-k$  and  $-c$ , where  $k \neq c$ , as we expect in real flight. The reason to employ different sampling rate is due to the fact that altitude changes are very slow compared with the airframe rates. While we could run all of the loops at a high rate there is usually a computational throughput limit in the control processor which forces us to run only the primary attitude loops at the high rate.

Meanwhile, the open-loop altitude frequency response is depicted in Fig. 4. The negative open-loop gain margin indicates that the open-loop rigid body model experiences lack of robust stability and performances.

### 3 Uncertainty and robustness issues

This section deals with synthesis and analysis of robust autopilot to the longitudinal flight motion of the UAV,



**Figure 4** Frequency response of the open-loop elevon-average-to-altitude

P15035. Some robustness issues will be depicted first, before subsequently followed by problem its formulations including performances and robustness objectives and also the design procedures.

#### 3.1 Signal and system norms

As a standard measure of how big the signal  $x(t)$  under sinusoidal excitation, the definition of a particular system gain can be represented using different norms, that is, [16]:

- The  $L_2$ -norm represents the total energy associated with the signal, given by:

$$\|x\|_2 = \sqrt{\int_0^\infty x^2(t) dt}$$

- The  $L_\infty$ -norm is given by:

$$\|x\|_\infty = \sup_t |x(t)|$$

It is the magnitude of the peak value of the Bode diagram.

Subsequently, in this section we will be depicting two important system norms, that is,

- For a stable single input single output (SISO) linear system with transfer function  $G(s)$ , the  $H_2$ -norm is defined as:

$$\|G\|_2 = \left( \frac{1}{2\pi} \int_{-\infty}^{\infty} |G(j\omega)|^2 d\omega \right)^{1/2}$$

- In addition to the  $H_2$ -norm,  $H_\infty$ -norm provides a measure of the worst case system gain. For a stable SISO linear system

with transfer function  $G(s)$ . The  $H_\infty$ -norm is given by:

$$\|G\|_\infty = \sup_{\omega} |G(j\omega)|$$

### 3.2 Classical stability margin

Classical stability margin can be represented using two parameters, that is, gain margin (GM) and phase margin (PM). GM can be defined as the factor by which the gain can be increased before the system is unstable. It also becomes the standard measure of the system's relative stability. The GM of a stable system has to be positive. This is also desirable from the point of view of robustness. Another parameter associated with relative stability is called PM, which indicates the additional phase lag that will make the system marginally stable.

### 3.3 Robust stability margin

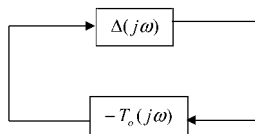
For stability analysis, the command reference signal is not required; it can be set to zero. Let  $\Delta(j\omega)$  be the maximum uncertainty that can be tolerated by the closed-loop control system while still maintaining its stability, and  $T_o(j\omega) = L_o/(1 + L_o)$  be the complementary sensitivity function in which  $L_o$  is the open-loop gain (as shown in Fig. 5), according to the small gain theorem the stability of closed-loop system can be guaranteed if  $\|\Delta(j\omega)T_o(j\omega)\|_\infty < 1$ . It can be rewritten as:

$$|\Delta(j\omega)| < \frac{1}{|T_o(j\omega)|}, \quad \forall \omega \quad (9)$$

Suppose the closed-loop transfer function varies from  $T(s)$  to  $T(s) + \Delta T(s)$  due to the variation of plant parameters from  $G(s)$  to being  $G(s) + \Delta G(s)$ , the sensitivity function  $S(s)$ , defined as the ratio of fractional changes in the closed-loop system to the fractional change in the open-loop system, given as:

$$S(s) = \lim_{|\Delta G(s) \rightarrow 0} \frac{\Delta T/T}{\Delta G/G} = \frac{1}{1 + GK} \quad (10)$$

It is now obvious that in order to retain good performance and disturbance rejection  $|S_o|$  has to be small. On the other hand, for the sake of stability robustness and noise suppression, the magnitude of the nominal complementary



**Figure 5** Robust stability represented in collapse block diagram

(The negative sign indicates negative feedback applied under zero initial condition)

sensitivity function  $|T_o|$  has to be small as well, particularly at higher frequency.

However, due to the relation  $T(s) + S(s) = 1$ , it is not possible to keep both sensitivity and the complementary function small at the same instance due to the well-known 'water bed effect'. What is achievable instead is to make a trade-off between those two objectives. Mathematically, Bode's sensitivity integral, for a stable system which has no right-half plane zeros, states that:

$$\int_0^\infty \log_{10} |S(j\omega)| d\omega = 0 \quad (11)$$

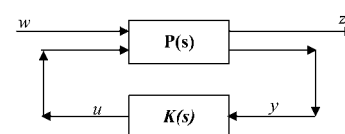
Equation (11) indicates that as  $|S_o|$  is pushed down on one particular frequency, it will pop up somewhere else in other frequency ranges. In particular,  $|S(j\omega)|$  and  $|T(j\omega)|$  cannot be  $< 50\%$  at the same frequency [16]. As a common practice, for low frequency around the design bandwidth, denoted by  $[0, \omega_b]$ , sensitivity is kept to be small, while for the remaining bandwidth, given by  $[\omega_b, \infty]$ , the complementary sensitivity function is set to be small. The reason for that is due to a good compromise of performance and robustness at this designated frequency region [12–16].

### 3.4 Robust autopilot problem formulations

We bring our SISO system originally into its equivalent multi input multi output (MIMO) model formulation, which is indeed a more realistic problem formulation. The plant in Fig. 6 can be represented in the following extended state space diagram in 14 as follows

$$\begin{aligned} \dot{x} &= Ax + B_1 w + B_2 u \\ z &= C_1 x + D_{11} w + D_{12} u \\ y &= C_2 x + D_{21} w + D_{22} u \end{aligned} \quad (12)$$

where  $z$  is the regulated outputs, that is, the signal we are interested in controlling (in this research: altitude and its control signal), meanwhile,  $y$  is signals that are measured and fed back, see (25), become the input of controller. Also,  $w$ ,  $u$  and  $x$  correspond to the existing disturbances, input elevon average, and states of the system, respectively. The state space equations in (12) can be expressed in the



**Figure 6** Two-port block diagram (as a standard problem formulation in robust control) and  $s = (j\omega)$

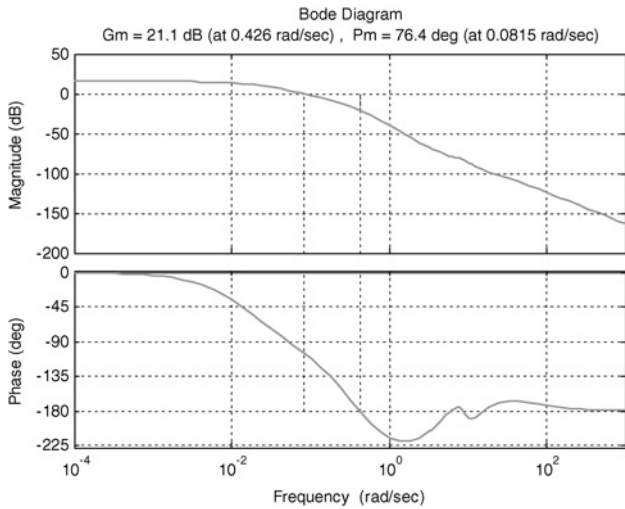


Figure 7 Open-loop transfer function of  $H_2$  system

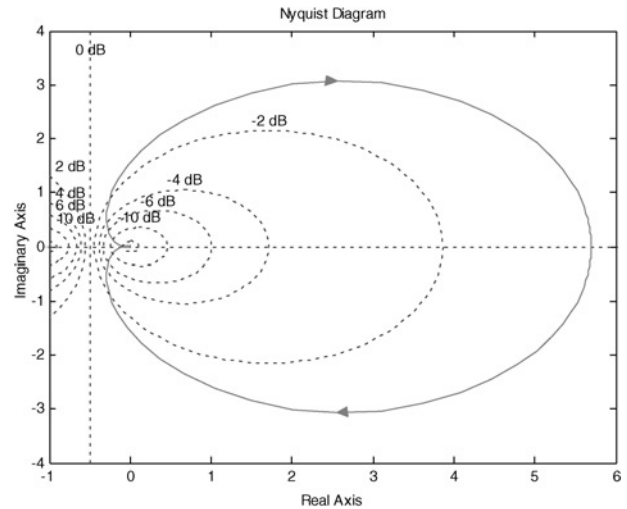


Figure 8 Nyquist plot for  $H_2$  system

extended matrix  $P(s)$  as follows:

$$P(s) = \begin{bmatrix} A & B_1 & \vdots & B_2 \\ C_1 & D_{11} & \vdots & D_{12} \\ \vdots & \vdots & \ddots & \vdots \\ C_2 & D_{21} & \vdots & D_{22} \end{bmatrix} \quad (13)$$

Robust  $H_2$  control  $K(s)$  stabilises the plant and has the same number of states as its open-loop plant  $P(s)$ . As distinct from  $H_\infty$  control,  $H_2$  optimal cost is defined as  $\gamma = \|T_{yu}\|_2$  [25]. Moreover, the resulting robust  $H_2$  control law is given as:  $u_2 = K(s)Y(s)$ .

#### 4 Robust $H_2$ design

The optimal solution in  $H_2$  synthesis is obtained by solving two Riccati equations. The resulting Bode diagram and Nyquist plot of the compensated open-loop transfer function of  $G(s)K(s)$  using  $H_2$  is given in Fig. 7, in which its GM and PM for the compensated system are GM = 21.1356 dB and PM = 76.3742°, respectively.

Since both GM and PM expressed in decibels (see Bode diagram in Fig. 7 and Nyquist plot in Fig. 8) are positive, the closed-loop system is definitely stable. Fig. 9 clearly depicts the water bed effect of  $H_2$  system. It is also

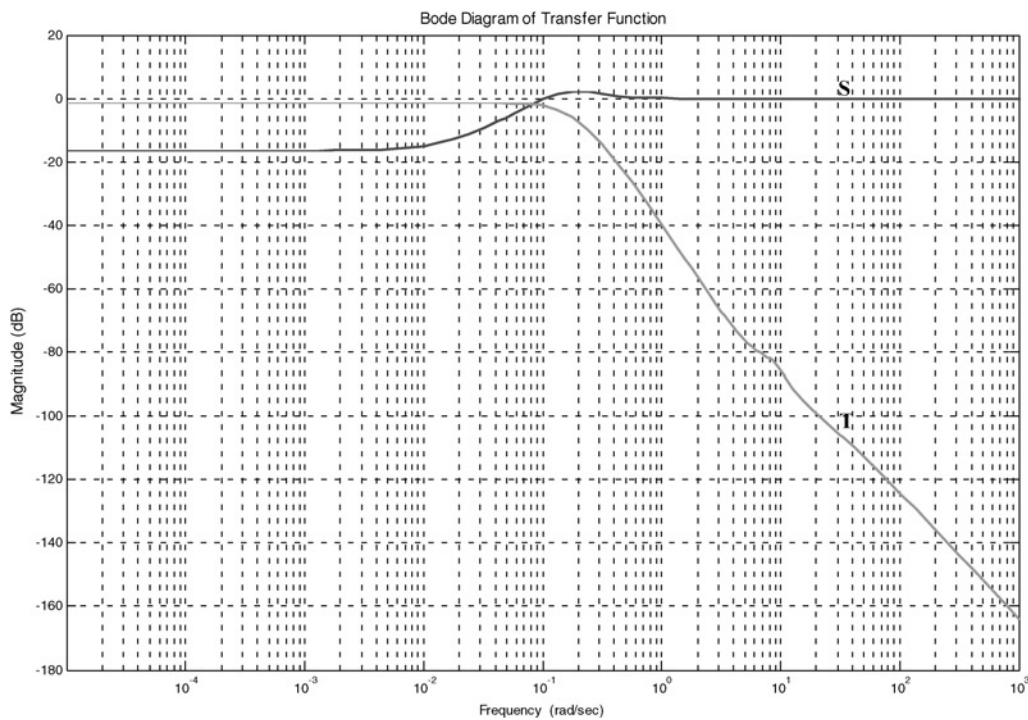


Figure 9 Sensitivity against complementary sensitivity function for  $H_2$  system

**Table 2**  $H_2$  autopilot design result

Eigen values	Damping	Frequency
-0.1314	1.000	0.131
-0.123 + 0.103i	0.765	0.161
-0.123 - 0.103i	0.765	0.161
-0.4581	1.000	0.458
-0.4667	1.000	0.467
-2.443 + 8.7835i	0.268	9.120
-2.443 - 8.7835i	0.268	9.120
-28.5	1.000	28.5

apparent that for  $|L_o| \ll 1$ ,  $|L_o| \simeq |T_o|$ , meanwhile for  $|L_o| \gg 1$ ,  $L_o \simeq 1/S_o$ .

The resulting  $H_2$  autopilots should have the same number of states as the transfer function of elevon-average-to-altitude. However, there is a pair of common complex conjugate poles and zeroes given by:  $-2.443 \pm 8.7835i$  that can cancel each other. As a result, in terms of pole/zero/gain, the resulting  $H_\infty$  compensator is obtained

$$K(s) = \frac{-0.54884(s + 0.463)(s + 0.1166)}{(s + 28.53)(s^2 + 0.7145s + 0.1386)} \quad (14)$$

The resulting closed-loop eigen values, damping factor as well as undamped natural frequency are given in Table 2.

## 5 Robust $H_\infty$ problem set up

As opposed to time domain LQG control,  $H_\infty$  is a frequency-domain-based linear quadratic optimal control, developed in response to address the issue related to the modelling errors and uncertainty [18]. Interested readers may refer to [12–14, 25] and also to [15, 16, 21–23] for further studies. It is in fact a powerful frequency domain optimisation technique to design robust control systems. The name  $H_\infty$  refers to the space of stable and proper transfer function, that is, the degree of the denominator is always greater or equals to the degree of the numerator at the same time strictly maintain all poles on the left-hand side of  $s$  plane.

As previously defined, the  $\infty$ -norm of a transfer function is simply the peak of the Bode magnitude diagram of a transfer function and is defined as:

$$\|G\|_\infty = \sup |G(j\omega)| \quad (15)$$

The objective here is to minimise the  $\infty$ -norm of the transfer function, which in turn, minimise the peak of the Bode magnitude plot, in order to enhance robust stability margin of the systems.

Consider the two-port block diagram in Fig. 6, the standard  $H_\infty$  problem is to work out an internally stabilising controller,  $K(s)$  for the plant  $P(s)$ , such that the  $\infty$ -norm of the closed-loop transfer function,  $T_{zw}$ , is below a give positive scalar level,  $\gamma$ .

Mathematically, it can be formulated using the following equations

$$\min_{K(s)\text{stabilising}} \|T_{zw}\|_\infty, \quad \text{find } \|T_{zw}\|_\infty \leq \gamma \quad (16)$$

in which,  $\gamma$  is a positive definite scalar. By employing the search algorithm,  $\gamma$  is iterated until the optimal value is reached. Practically, this design approach is to make a delicate balance act of trade-offs [25].

It should be pointed out that there is a common thread between  $H_\infty$  and LQG autopilot since both of them employ a state estimator and feed back the estimated states. Ricatti equation is also applied to compute both controller and estimator gains. The difference is however when it comes to the coefficients of Ricatti equations and the fact that some extra terms are introduced in  $H_\infty$  state estimator [25].

### 5.1 $H_\infty$ Problem solutions

The theoretical development of  $H_\infty$  synthesis mainly refers to [25]. In the sense of LQG, optimal feedback regulator is given as:

$$u = -k_c \hat{x} \quad (17)$$

The state estimator is then given by

$$\dot{\hat{x}} = A\hat{x} + B_2 u + B_1 \hat{w} + Z_\infty k_e (y - \hat{y}) \quad (18)$$

in which  $\hat{w} = \gamma^{-2} B_1' X_\infty \hat{x}$  and  $\hat{y} = C_2 \hat{x} + \gamma^{-2} D_{21} B_1' X_\infty \hat{x}$ .

The robust compensator can be calculated using the following equations

$$K(s) = \begin{bmatrix} A - B_2 k_c - Z_\infty k_e C_2 + \gamma^{-2} & & \\ (B_1 B_1' - Z_\infty k_e D_{21} B_1') X_\infty & Z_\infty k_e & \\ -k_c & & 0 \end{bmatrix} \quad (19)$$

where,

$$\begin{aligned} k_c &= \tilde{D}_{12} (B_2' X_\infty + D_{12}' C_1) \\ \tilde{D}_{12} &= (D_{12}' D_{12})^{-1} \\ k_e &= (Y_\infty C_2' + B_1 D_{12}') \tilde{D}_{21} \\ \tilde{D}_{21} &= (D_{21}' D_{21})^{-1} \end{aligned}$$

and

$$Z_\infty = (I - \gamma^{-2}Y_\infty X_\infty)^{-1}$$

Moreover,  $X_\infty$  and  $Y_\infty$  are the solutions of Riccati equations obtained as follows

$$X_\infty = \text{Ric} \begin{bmatrix} A - B_2 \tilde{D}_{12} D_{12}' C_1 & \gamma^{-2} B_1 B_1' - B_2 \tilde{D}_{12} B_2' \\ -\tilde{C}_1' \tilde{C}_1 & -(A - B_2 \tilde{D}_{12} D_{12}' C_1)' \end{bmatrix} \quad (20)$$

$$Y_\infty = \text{Ric} \begin{bmatrix} (A - B_1 D_{21}' \tilde{D}_{21} C_2)' & \gamma^{-2} C_1' C_1 - C_2' \tilde{D}_{21} C_2 \\ -\tilde{B}_1' \tilde{B}_1 & -(A - B_1 D_{21}' \tilde{D}_{21} C_2) \end{bmatrix}$$

in which  $\tilde{C}_1 = (I - D_{12} \tilde{D}_{12} D_{12}') C_1$  and  $\tilde{B}_1 = B_1 (I - D_{21}' \tilde{D}_{21} D_{21})$ . Finally, the resulting closed-loop system is depicted in the following extended state space equations:

$$\begin{bmatrix} \dot{x} \\ \dot{\hat{x}} \end{bmatrix} = \begin{bmatrix} A & -B_2 k_c \\ Z_\infty k_c C_2 & A - B_2 k_c + \gamma^{-2} B_1 B_1' X_\infty \\ & -Z_\infty k_c (C_2 + \gamma^{-2} D_{21}' B_1' X_\infty) \end{bmatrix} \times \begin{bmatrix} x \\ \hat{x} \end{bmatrix} + \begin{bmatrix} B_1 \\ Z_\infty k_c D_{21} \end{bmatrix} w \quad (21)$$

The output equation is then given as follows:

$$\begin{bmatrix} z \\ y \end{bmatrix} = \begin{bmatrix} C_1 & -D_{12} k_c \\ C_2 & 0 \end{bmatrix} \begin{bmatrix} x \\ \hat{x} \end{bmatrix} + \begin{bmatrix} 0 \\ D_{21} \end{bmatrix} w \quad (22)$$

A stabilising compensator will exist if and if only

$$\rho(X_\infty Y_\infty) < \gamma^2 \quad (23)$$

where  $\rho(A)$  is the spectral radius of  $(A)$ ,  $\rho(A) = \lambda_{\max}(A)$ . In fact, for every value of  $\gamma$ , there are two Riccati equations that must be solved.

### 5.2 Robust $H_\infty$ autopilot – problem formulations

First, the two-port input, which corresponds to actual disturbances or to un-modelled dynamics of the systems are introduced as

$$w = [d_1 \quad d_2 \quad d_3 \quad d_4 \quad n]^T \quad (24)$$

in which  $d_1$  corresponds to input elevon average disturbance, while  $d_3$  and  $d_2$  denote pitch and pitch rate disturbances, respectively. Also,  $d_4$  is the altitude noise due to input elevon average and  $n$  is the existing measurement noise in flight.

The performance objective we would like to achieve here is to synthesise a stabilising  $H_\infty$  autopilot that can achieve the closed-loop performance objectives, for example, the

desired (minimum) sensitivity function bellow 0 dB or about one over a particular frequency range.

By incorporating the newly introduced terms in (24) into state equation obtained in (13), we finally arrive at the extended state space equation as follows

$$\begin{aligned} \dot{x}_1 &= -5.4740x_1 - 86.05x_2 - 49.12x_3 - 4.927x_4 \\ &\quad - 0.065x_5 + u + d_1 \end{aligned} \quad (25)$$

$$\begin{aligned} \dot{x}_2 &= x_1 \\ \dot{x}_3 &= x_2 \\ \dot{x}_4 &= x_3 \\ \dot{x}_5 &= x_4 + d_3 \end{aligned}$$

in which  $x_5$  is altitude of the aircraft (m),  $x_4$  the pitch output (degrees) and  $x_3$  to  $x_1$  are the first to third derivative of pitch.

The measurement equation is given as

$$\begin{aligned} y &= -0.0117x_1 - 0.2519x_2 - 3.006x_3 - 18.64x_4 \\ &\quad - 49.42x_5 + n + d_2 + d_4 \end{aligned}$$

where,  $n$  is noise in measurements.

The regulated outputs are altitude  $x_5$  and control signal  $u$ , given as

$$z = \begin{bmatrix} x_5 \\ u \end{bmatrix} \quad (26)$$

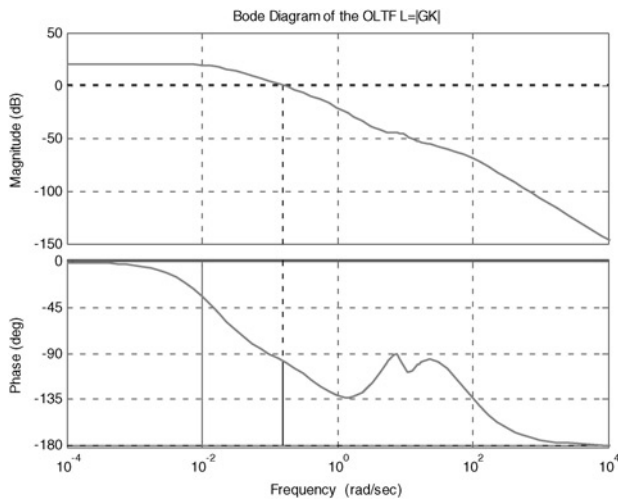
in which  $z$  is the regulated output. In this design, the magnitude of control signal is to be bounded in the regulated outputs to avoid saturation issues. This requirement is also to satisfy the rank condition.

The resulting  $H_\infty$  autopilots should have the same number of states as the transfer function of elevon-average-to-altitude obtained in (7). However, since there is a pair of

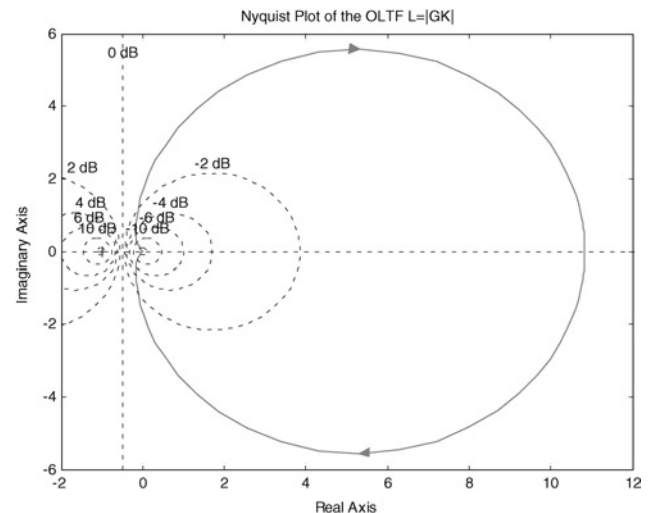
**Table 3**  $H_\infty$  autopilot design result

Eigen values	Damping	Frequency
-0.131	1.000	0.131
-0.224	1.000	0.224
-0.439	1.000	0.439
-0.458	1.000	0.458
-2.44 + 8.78i	0.268	9.120
-2.44 - 8.78i	0.268	9.120
-28.5	1.000	28.50
-83.6	1.000	83.60





**Figure 10** Bode diagram of the compensated open-loop transfer function of  $H_\infty$  system



**Figure 11** Nyquist plot of  $H_\infty$  system

common complex conjugate poles and zeroes given by  $-2.4446 \pm 8.7786i$  that can cancel each other, the simplified model of  $H_\infty$  compensator is given by:

$$K(s) = \frac{-398.51(s + 0.4629)(s + 0.1174)}{(s + 83.62)(s + 28.49)(s + 0.6387)} \quad (27)$$

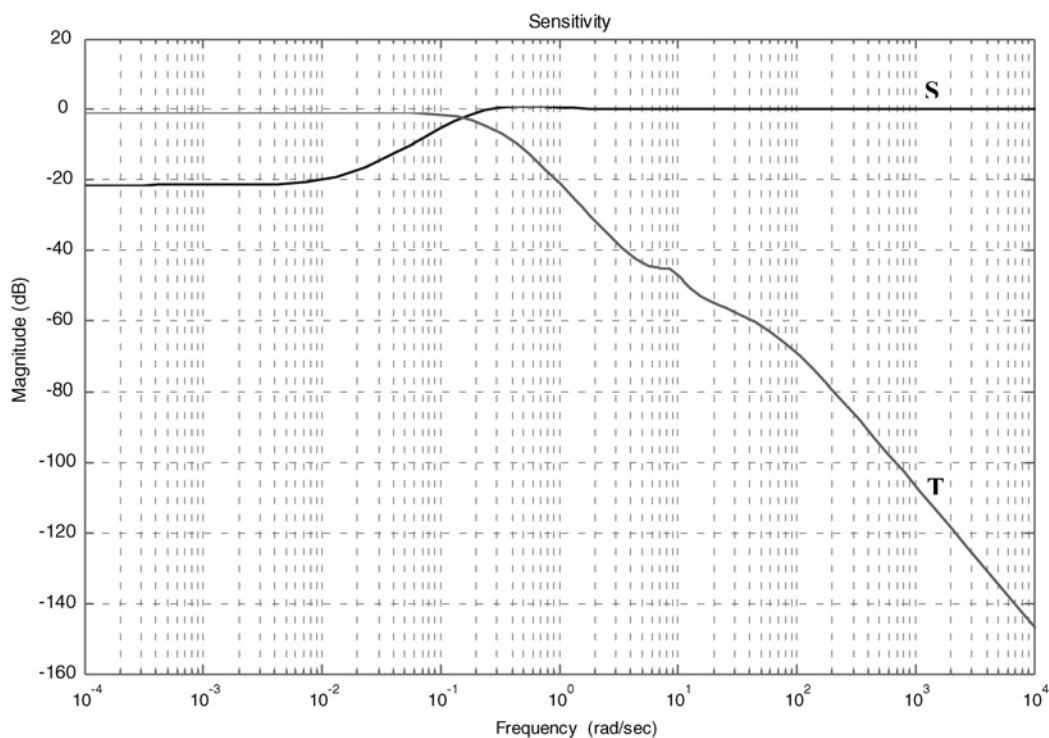
One pole added at  $s = -83.62$  and  $s = -28.49$  are to improve high-frequency attenuation. The value of optimal gamma  $\gamma_{\text{opt}} = 8.2611$ . Upon several iterations, the resulting estimator gains  $L$  and regulators gains  $K$  are

obtained as follows:

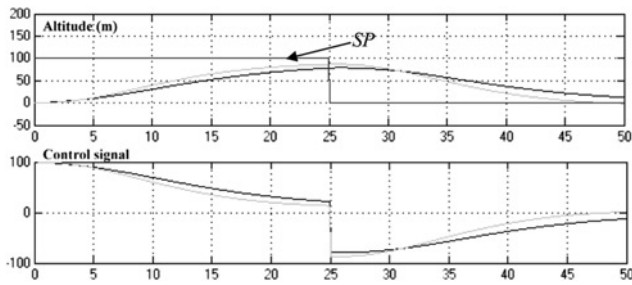
$$L = [0.0010 \quad 0.0001 \quad -0.00001 \quad -0.0012 \quad -0.05769]^T \quad (28)$$

$$K = [7.0138 \quad 38.9153 \quad 606.3590 \quad 389.1771 \quad 56.8942]$$

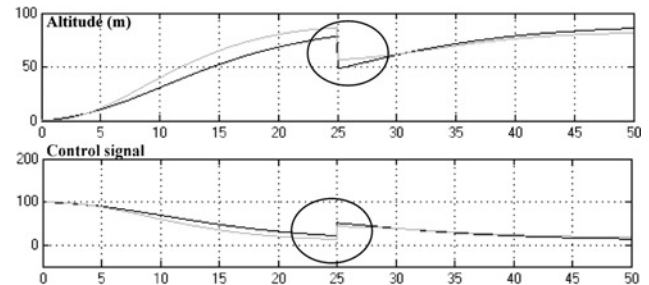
The resulting closed-loop poles, damping factors and its undamped natural frequency are displayed in Table 3 as follows.



**Figure 12** Sensitivity against complementary sensitivity function for  $H_\infty$  system



**Figure 13** Taking off, cruising and landing performances (SP denotes its set point),  $H_{\infty}$  system is represented by light grey line and  $H_2$  system by dark grey line



**Figure 14** Closed-loop control systems performances due to 30% disturbances at  $t = 25$  s (top), control signal (bottom)  $H_{\infty}$  system is represented by light grey line, whereas  $H_2$  system is represented by dark grey line

### 5.3 Frequency response

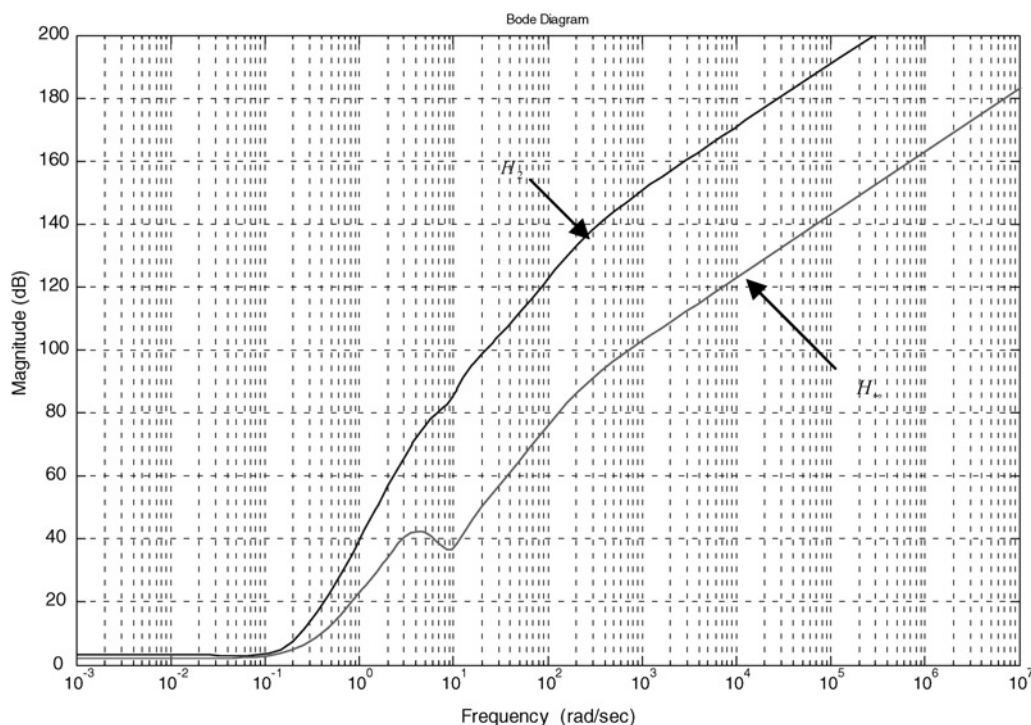
The resulting compensated open-loop frequency response is given by Fig. 10. It indicates that the resulting GM = Inf and PM =  $82.4183^\circ$ , which is desirable from the point of view of robustness. Moreover, it has the crossover frequency of gain margin  $\omega_{cg} = \infty$  (rad/s), and the crossover frequency of phase margin  $\omega_{cp} = 0.1548$  (rad/s). Since the Nyquist plot (Fig. 11) does not encircle  $-1 + j0$ , thus the compensated system is definitely stable. The resulting sensitivity against complementary sensitivity function for our  $H_{\infty}$  system is plotted in Fig. 12.

### 5.4 Time domain performances

The feasibility of  $H_{\infty}$  frequency domain design is now simulated in time domain. In this scenario, at  $t = 0$  s the aircraft was commanded to climb up to 100 m.

Subsequently, at  $t = 25$  s, the altitude setting point was changed to be zero, see Fig. 13. As can be seen,  $H_{\infty}$  autopilot has successfully achieved better time domain performance (quicker settling time) compared with  $H_2$  counterparts.

Subsequently, in Fig. 14, the effects of disturbances were investigated. An altitude disturbance (30% from the magnitude of setting point), at  $t = 25$  s, was injected to the closed-loop control systems to examine how good the closed-loop control systems in overcoming the existing disturbances. It is apparent from Fig. 13 that the disturbance can be overcome with minimum or no overshoots, within reasonable settling time which is desirable from the designer point of view. In aviation world, overshoots are in fact the undesirable transient responses which have to be suppressed. Failure to accomplish this task may create some damages to



**Figure 15** Maximum tolerable amount of uncertainty,  $\Delta = 1/|T(j\omega)|$ , for  $H_2$  and  $H_{\infty}$  autopilots

the whole systems, particularly when the pilot would like to land the aircraft. The oscillations during take off or landing have to be eliminated completely.

## 6 Maximum tolerable amount of uncertainty $\Delta(j\omega)$

At any given frequency, say for instance,  $\omega_1$ , the maximum amount of uncertainty,  $\Delta$ , that can be tolerated while still maintain the stability of the closed loop control system is the reciprocal of the amplitude of the complementary sensitivity function,  $|1/T_o(j\omega)|$ , as depicted in Fig. 15. Accordingly, in our design,  $H_2$  autopilot has successfully outperformed  $H_\infty$  autopilot in terms of stability robustness due to smaller magnitude of the closed-loop transfer achieved.

## 7 Concluding remarks

Theoretical developments of robust autopilots using  $H_\infty$  and  $H_2$  algorithm have been developed. Our design results indicate the superiority of robust  $H_\infty$  autopilot in terms of domain performances compared with  $H_2$  counterpart due to smaller magnitude of the nominal sensitivity function achieved.  $H_\infty$  autopilot in fact provides more responsive time domain response as depicted in Fig. 13. Moreover, robust  $H_\infty$  autopilot also poses better stability margin (GM = infinity and PM = 82.4183°) compared with its  $H_2$  counterpart (GM = 21.1356 dB, PM = 76.3742°).

Nevertheless, superior time domain performance can be achieved by having smaller magnitude of the nominal sensitivity function,  $|S_o|$  at a particular frequency. Accordingly, due to water bed effects, it must be paid by having bigger magnitude of the nominal complementary sensitivity function  $|T_o|$ . As a result,  $H_2$  autopilot has been superior when it comes to stability robustness compared with its  $H_\infty$  counterpart. It turns out that although it is believed that there is no robustness guarantee for  $H_2$  autopilot, it does not necessarily mean that the design result must be lacking or poor of robustness.

We cannot in fact keep both  $|S_o|$  and  $|T_o|$  small at the same instance. Instead, we can arrange one of them smaller, over one range of frequency, and over the complementary range of frequency another is retained to be smaller. Since the demand of good performance over the design frequency range and the demand of good robustness above this range, in practice, sensitivity is smaller over the design bandwidth  $[0, \omega_b]$  and the complementary sensitivity is smaller over the remaining bandwidth,  $[\omega_b, \infty]$ . At higher frequencies the inaccuracy in modelling is most likely to occur.

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